

***Bid Package***

***For***

***Compact Track Loader***  
***With Attachments***



**City of Sevierville**  
**Department of Public Works**  
**120 Gary Wade Boulevard**  
**P. O. Box 5500**  
**Sevierville, TN 37864-5500**  
**Phone: (865) 429-4567**  
**Fax: (865) 453-5518**  
**Contact: Hal Watson**  
**Email: [hwatson@seviervilletn.org](mailto:hwatson@seviervilletn.org)**

August 2010

## INFORMATION FOR BIDDERS

### RECEIPT AND OPENING OF BIDS

The City of Sevierville, Tennessee (herein called the "Owner") invites bids on the form attached hereto for a **COMPACT TRACK LOADER WITH ATTACHMENTS**. The Owner will **RECEIVE BIDS UNTIL 2:00 PM, AUGUST 24, 2010** at Sevierville City Hall, 120 Gary Wade Boulevard, Sevierville, Tennessee, 37862 (Mailing address: P.O. Box 5500, Sevierville, Tennessee, 37864). Bids will then be **PUBLICLY OPENED AND READ ALOUD** at the Sevierville City Hall, 120 Gary Wade Boulevard, Sevierville, Tennessee 37862. The envelopes containing the bids must be sealed, addressed to Lynn McClurg, City Recorder, and must bear the following information:

Name of Bidder  
Bidders Address  
Date and Time of Bid Opening  
Bid Enclosed: **COMPACT TRACK LOADER**

The Owner may consider informal any bid not prepared and submitted in accordance with the provisions hereof, and may waive any informality or reject any and all bids. Any bid received after the time and date specified shall not be considered.

### QUALIFICATIONS OF BIDDERS

The Owner may make such investigations as he/she deems necessary to determine the ability of the Bidder to supply the necessary equipment, and the Bidder shall furnish to the Owner all such information and data for the purpose as the Owner may request. The Owner reserves the right to reject any bid if the evidence submitted by, or investigation of, such Bidder fails to satisfy the Owner that such Bidder is properly qualified to carry out the obligation of the contract by supplying the equipment contemplated therein. Conditional bids will not be accepted.

### METHOD OF AWARD - LOWEST QUALIFIED BIDDER

If at the time this contract is to be awarded the lowest base bid submitted by a responsible Bidder does not exceed the amount of funds then estimated by the Owner as available to finance the contract; the contract will be awarded on the bid. If such bid exceeds such amount, the Owner may reject the bids or may award the contract on the lowest bid with such deductible alternates to produce a net amount, which is within the available funds.

Only one (1) award will be made from this contract.

The Owner reserves the right to determine the low Bidder by durability and maintenance costs over the life of the equipment. This may be done by means of past experience or research. Initial cost may not determine low bid.

In case of error or discrepancy in the mathematics of the bid price, the unit prices shall prevail.

### QUANTITIES AND LENGTH OF CONTRACT

It is the Owner's intent to purchase one (1) Compact Track Loader. However, should the Owner wish to purchase another at the same price, the bids shall be valid for ninety (90) days after bid opening. Prices for attachments shall also be valid for ninety (90) days after bid opening.

### SHIPPING

The fully functional equipment shall be delivered to the City of Sevierville Public Works Facility, 310 Robert Henderson Road, Sevierville, Tennessee 37862, within one hundred twenty (120) calendar days after award of contract. **DELIVERY PAST THIS SPECIFIED TIME MAY BE A FACTOR IN AWARD OF CONTRACT.** Delivery shall be FOB Sevierville, Tennessee. Any and all shipping and/or handling charges are to be included in the bid prices.

## OBLIGATION OF BIDDERS

At the time of the opening of bids, each Bidder will be presumed to have read and to be thoroughly familiar with the specifications. The failure or omission of any Bidder to examine any form, instrument, or document shall in no way relieve any Bidder from any obligation in respect to his/her bid.

Each Bidder is requested to fill out and return the attached Title VI Information sheet, vendor information form, and W-9 form as a part of the bid package.

## MANUALS AND FILTERS

The successful Bidder will be required to include in their price and ship with the equipment, one (1) complete digital set of manuals for all equipment furnished. These sets shall include (as a minimum) an operator's manual, a service manual, and a parts manual. One (1) complete set of filters shall also be included for a total service of the equipment, delivered with the unit.

## VENDOR INFORMATION

The equipment offered under this bid shall be new, unused, or demonstration model capable of performing its intended function in accordance with the operation and performance requirements specified.

New 2009 or 2010 model, demonstration models, and slightly used models (less than fifty (50) hours) are acceptable for bid. However, if bidding a demonstration or used model, please list as an alternate bid in addition to a new model. The equipment shall be complete and ready for use, so that when delivered and put into service it may be used for the function intended.

Where a brand or trade name appears in the specifications, it is understood that the brand or trade name referred to, or its approved equivalent, shall be furnished. If no mention is made of any exceptions, it is assumed that the Bidder is bidding on the equipment mentioned and not an approved equivalent. If a brand name is listed in the bid package and a Bidder intends to bid another name, it is the responsibility of the bidder to notify the Owner of his intent to do so by seven (7) days prior to bid opening and supply any and all materials, demos, etc. needed to make this evaluation before bid opening. An approved equivalent is defined as a bid item that meets or exceeds every specification provided in the bid specifications and is approved by the Owner. However, the Owner reserves the right to choose a specific brand or trade name if standardizing to accommodate parts supply, knowledge of maintenance, and to prevent the purchase of specialty tools.

Successful Bidder shall supply complete specifications/brochures for the proposed Compact Track Loader with return bid. Adequate vendor information shall be included with each bid for determination of meeting specifications.

## WARRANTIES

A three (3) year on-site warranty for all parts and labor shall be included. The Bid Form lists an option for an additional warranty term. Please list possibilities as an additional option.

**DETAILED MINIMUM REQUIREMENTS FOR COMPACT TRACK LOADER AND ATTACHMENTS**

The Compact Track Loader and attachments offered for bid shall meet or exceed the following requirements, which shall be considered as minimums, unless otherwise indicated. Adequate vendor information shall be included with each bid for determination of meeting specifications. **Any deviations from the required specifications must be listed on this form or on a separate sheet and enclosed as part of the bid package.**

Meets or Exceeds

Specifications:

**YES NO**

**ENGINE:**

- \_\_\_ \_\_\_ 4cyl Turbo charged diesel Engine model V3800, Biodiesel compatible.
- \_\_\_ \_\_\_ Displacement 230 cu. in. (3,769 cm).
- \_\_\_ \_\_\_ Liquid cooling, Propylene Glycol.
- \_\_\_ \_\_\_ Rated power: 92 HP (68.6 KW) @ 2,400 RPM.
- \_\_\_ \_\_\_ Maximum Governed RPM, 2,650
- \_\_\_ \_\_\_ Bore/Stroke, 3.94/4.72 in. (100/120mm) .
- \_\_\_ \_\_\_ Maximum Torque, 232.3 LBF-FT (315 Nm ) @ 1,600 RPM.
- \_\_\_ \_\_\_ Lubrication, Pressure System with Filter.
- \_\_\_ \_\_\_ Crankcase Ventilation, Open Breathing.
- \_\_\_ \_\_\_ Air Cleaner, Dry replaceable paper cartridge with safety element.
- \_\_\_ \_\_\_ Starting aid shall be an automatically activated air intake heater.

**MACHINE WEIGHT:**

- \_\_\_ \_\_\_ Rated Operating Capacity (SAE), 2,832 lbs
- \_\_\_ \_\_\_ Operating Capacity (50% of Tip), 4,046 lbs
- \_\_\_ \_\_\_ Tipping Load, 8,092 lbs.
- \_\_\_ \_\_\_ Operating Weight, 10,308 lbs.
- \_\_\_ \_\_\_ Travel Speed, 6.6 mph
- \_\_\_ \_\_\_ Lift Break Force (SAE), 6,700 lbs. (3,039 kg)
- \_\_\_ \_\_\_ Tilt Break Force (SAE), 6,300 lbs. (2,858 kg)
- \_\_\_ \_\_\_ Push Force, 6,200lbs. (2,812 kg)

**CAPACITIES:**

- \_\_\_ \_\_\_ Fuel Tank, 30 gals. (113.6 L).
- \_\_\_ \_\_\_ Engine Oil with Filter, 14qts. (13.2 L).
- \_\_\_ \_\_\_ Cooling System, 4.3gals. (16.3 L).
- \_\_\_ \_\_\_ Hydraulic Reservoir, 4.7 gals. (17.8 L)
- \_\_\_ \_\_\_ Hydraulic/Hydrostatic System, 13 gals. (49.2 L)

**HYDRAULIC SYSTEM:**

- \_\_\_ \_\_\_ Pump Type shall be gear type (capable of providing 21.2 gpm (80.25 L/min) @ high idle for bucket, and lift arm and attachment operation.
- \_\_\_ \_\_\_ Shall be equipped with High Flow Hydraulics capable of providing 37 gpm. (140 L/min) @ high idle and 3,300 PSI (227,5 Bar) system relief pressure through auxiliary circuit for high flow hydraulic attachment operation.
- \_\_\_ \_\_\_ “Variable flow” and” Maximum flow” auxiliary hydraulics shall be standard.
- \_\_\_ \_\_\_ Control Valve shall be three- spool, open center type. Shall include lift (with float detent) tilt and front auxiliary hydraulic spools.
- \_\_\_ \_\_\_ Hydraulic Filter shall be Full Flow replaceable, 3 micron synthetic media element.
- \_\_\_ \_\_\_ Cylinders shall be double acting type. Tilt cylinders shall have cushioning feature on dump and rollback.
- \_\_\_ \_\_\_ A hydraulic oil cooler shall be standard equipment.
- \_\_\_ \_\_\_ Engine/ Hydraulic System Shutdown shall provide automatic engine shutdown to prevent loader damage.

\_\_\_ \_\_\_ Standard auxiliary hydraulics shall include flush face style quick couplers.  
Cylinders shall meet the following minimum specifications:

Function	# of cylinders	Bore Diameter	Rod Diameter	Stroke
Lift	2	3.00 in. (76.2 mm)	1.63 in. (41.4 mm)	25.00 in. (636.0 mm)
Tilt	2	3.00 in. (76.2 mm)	1.50 in. (38.1 mm)	15.10 in. (383.5 mm)

**ELECTRICAL:**

\_\_\_ \_\_\_ 12-volt; 950 cold cranking amps  
\_\_\_ \_\_\_ Alternator: Belt Driven 90 amps Open  
\_\_\_ \_\_\_ Ignition Diesel- Compression  
\_\_\_ \_\_\_ Starter, 12 volt; gear reduction type; 4.02HP (3.0kw)  
\_\_\_ \_\_\_ Front and Rear working lights shall be standard.  
\_\_\_ \_\_\_ Loader shall be equipped with an interlock control system which requires that the operator be seated in the loader with the seat bar down in place and the engine running before the hydraulic lift, tilt and the traction drive system can be operated. The auxiliary hydraulics shall deactivate when the seat bar is raised.

**OPERATOR'S STATION:**

\_\_\_ \_\_\_ Shall be a closed cab with heater and air conditioning.  
\_\_\_ \_\_\_ Hydraulic Functions shall be Selectable Joystick Controls. Allowing operator to switch between ISO and H-Pattern for lift and tilt functions.  
\_\_\_ \_\_\_ Keyless start system.  
\_\_\_ \_\_\_ A Feature for draining pressure from the auxiliary hydraulics circuit shall be provided by pressing and holding the quick couplers for five seconds.  
\_\_\_ \_\_\_ Parking brake shall be Spring Applied Pressure Release multi- disk brake.  
\_\_\_ \_\_\_ Engine speed shall be controlled by hand lever.  
\_\_\_ \_\_\_ Left hand switches shall control optional rear auxiliary hydraulics.  
\_\_\_ \_\_\_ Vehicle Steering shall have forward, reverse, travel speed and steering controlled by two independent hand levers.  
\_\_\_ \_\_\_ Standard Safety Equipment, horn, backup alarm, seat belt/ and or safety bar and warning lights.  
\_\_\_ \_\_\_ BAR TYPE GAGES: Fuel gage, Hourmeter, Coolant Temperature, Oil Pressure, Voltage and Hydraulic Temperature.  
\_\_\_ \_\_\_ Shall have the ability to change non hydraulic attachments from the cab with the flip of a switch without leaving the seat.

**DRIVE SYSTEM:**

\_\_\_ \_\_\_ Shall have Fully Hydrostatic Track Drive.  
\_\_\_ \_\_\_ Transmission shall be infinitely variable tandem hydrostatic piston pumps, driving 2 (two) fully reversing hydrostatic motors.  
\_\_\_ \_\_\_ Shall have 18" track width rubber track standard with 4.2PSI (0.029MPa) ground pressure.

**STANDARD ATTACHMENT:**

\_\_\_ \_\_\_ Smooth Bucket, 80" width

**OPTIONAL ATTACHMENTS:**

**Wheel Saw**

\_\_\_ \_\_\_ The wheel saw will have a trenching depth of 6 to 18 in.  
\_\_\_ \_\_\_ The wheel saw will work with loaders ranging from 25 to 33gpm of hydraulic flow.  
\_\_\_ \_\_\_ Hydraulic side shift will be at least 25 in.  
\_\_\_ \_\_\_ Wheel options will range from 3 to 8 in. wide.  
\_\_\_ \_\_\_ A hydraulically operated trench cleaner will be available for 6 and 8 in. wheels.  
\_\_\_ \_\_\_ Overall width will be less than 70 in.  
\_\_\_ \_\_\_ Overall length will be less than 70 in.  
\_\_\_ \_\_\_ The skis of the wheel saw will be longer than the diameter of the wheel.  
\_\_\_ \_\_\_ Shall include a set of replacement tool bits (quantity 64 Bits).  
\_\_\_ \_\_\_ Wheel saw will not hinder the standard lift arm actions of the loader.

\_\_\_\_\_    \_\_\_\_\_    The wheel saw shall be easily attached by means of hydraulic couplers and a hydraulically control device that allows the operator to remain in the cab.  
\_\_\_\_\_    \_\_\_\_\_    Activation / deactivation of the wheel saw rotation, depth control and hydraulic side shift must be fingertip controlled from the operator seat without requiring operator to remove his/her hands from the loader steering levers.

**Tilt Attachment for Bucket Tilt**

\_\_\_\_\_    \_\_\_\_\_    Connects as an interface between the Loader and the bucket.  
\_\_\_\_\_    \_\_\_\_\_    Oscillates right or left 15 degrees from center.  
\_\_\_\_\_    \_\_\_\_\_    Hydraulically controlled from loader seat.  
\_\_\_\_\_    \_\_\_\_\_    Designed to keep load level on uneven terrain.  
\_\_\_\_\_    \_\_\_\_\_    Weight, 320 lb.  
\_\_\_\_\_    \_\_\_\_\_    Length, 4.3 in.  
\_\_\_\_\_    \_\_\_\_\_    Width, 48 in.  
\_\_\_\_\_    \_\_\_\_\_    Height, 20.4 in.  
\_\_\_\_\_    \_\_\_\_\_    One cylinder, 2.25inch bore, 1 inch rod, 4.2 inch stroke

**Hydraulic Breaker**

\_\_\_\_\_    \_\_\_\_\_    750 ft-lb Impact Energy Class  
\_\_\_\_\_    \_\_\_\_\_    384 ft-lb CIMA Tool Energy Rating  
\_\_\_\_\_    \_\_\_\_\_    1280 blows per minute at 26 gpm  
\_\_\_\_\_    \_\_\_\_\_    15-26 gpm flow requirement  
\_\_\_\_\_    \_\_\_\_\_    Weight, 819 lb  
\_\_\_\_\_    \_\_\_\_\_    Designed for use with multiple tool tips

**Concrete Mixer**

\_\_\_\_\_    \_\_\_\_\_    Designed to mix, transport, and dump concrete or mortar.  
\_\_\_\_\_    \_\_\_\_\_    Mixer drum rotates in either direction for optimal mixing performance.  
\_\_\_\_\_    \_\_\_\_\_    Remote attachment control that allows operator to mix concrete safely without leaving the loader.  
\_\_\_\_\_    \_\_\_\_\_    Weight, 430 lb.  
\_\_\_\_\_    \_\_\_\_\_    Length, 50 in.  
\_\_\_\_\_    \_\_\_\_\_    Width, 51 in.  
\_\_\_\_\_    \_\_\_\_\_    Height, 42 in.  
\_\_\_\_\_    \_\_\_\_\_    Drum speed, 20 rpm @ 11 gpm  
\_\_\_\_\_    \_\_\_\_\_    Batch capacity, 9.0 cu. Ft.  
\_\_\_\_\_    \_\_\_\_\_    #50 std roller chain  
\_\_\_\_\_    \_\_\_\_\_    9-20 gpm flow requirement

**Six-way Dozer Blade**

\_\_\_\_\_    \_\_\_\_\_    Width, 96 in. with 83.5 in. working width  
\_\_\_\_\_    \_\_\_\_\_    Blade Height, 25.2 in.  
\_\_\_\_\_    \_\_\_\_\_    30 degree angle  
\_\_\_\_\_    \_\_\_\_\_    8 degree Oscillation  
\_\_\_\_\_    \_\_\_\_\_    .63 x 6 inch reversible cutting edge.  
\_\_\_\_\_    \_\_\_\_\_    3 cylinders, 4.0 in. bore, 2.0 in. rod, 8 in. stroke  
\_\_\_\_\_    \_\_\_\_\_    Adjustable skid shoes

**Backhoe**

\_\_\_\_\_    \_\_\_\_\_    Stabilizer Type; Fold -Down  
\_\_\_\_\_    \_\_\_\_\_    Stabilizer Spread Transport; 82.0 in.  
\_\_\_\_\_    \_\_\_\_\_    Stabilizer Spread Working; 130.3 in.  
\_\_\_\_\_    \_\_\_\_\_    Ground Clearance; 5.5 in.  
\_\_\_\_\_    \_\_\_\_\_    Digging Depth; 135.7 in.  
\_\_\_\_\_    \_\_\_\_\_    Loading Height; 100.0 in  
\_\_\_\_\_    \_\_\_\_\_    Maximum Reach; 166.0 in.  
\_\_\_\_\_    \_\_\_\_\_    Swing Angle; 180 in.  
\_\_\_\_\_    \_\_\_\_\_    Bucket Rotation; 200 in.

\_\_\_\_    \_\_\_\_    Bucket Width; 18 in.  
\_\_\_\_    \_\_\_\_    Digging Force, Bucket; 6,000 lb-ft  
\_\_\_\_    \_\_\_\_    Digging Force, Dipper; 4,000 lb-ft

## BID FORM FOR COMPACT TRACK LOADER WITH ATTACHMENTS

Company: \_\_\_\_\_

Contact Name: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Federal Tax Identification Number: \_\_\_\_\_

Business License # \_\_\_\_\_ City: \_\_\_\_\_

### Bid Prices:

Quantity	Unit	Description	Total
1	each	Compact Track Loader	_____
Options:			
1	each	Wheel Saw Attachment	_____
1	each	Tilt Attachment for Bucket Tilt	_____
1	each	Hydraulic Breaker Attachment	_____
1	each	Concrete Mixer Attachment	_____
1	each	Six-way Dozer Blade Attachment	_____
1	each	Backhoe Attachment	_____
1	each	Additional Warranty (list specifics)	_____

Proposed Delivery Time Frame After Receiving Order: \_\_\_\_\_

The above price is for the purchase of the following equipment:

\_\_\_\_\_  
(Manufacturer)

\_\_\_\_\_  
(Model Name/Number)

It is further understood and agreed by the undersigned in submitting this proposal that the Owner reserves the following rights and privileges:

- a. To accept or reject any or all bids, and/or waive any of the informalities in the bidding.
- b. To reject all items of equipment and materials which do not conform to or exceed these specifications, without altering bid price of this proposal.

**Note:** Bidders shall not add any conditions or qualifying statements to this bid, except as provided herein, as otherwise the bid may be declared irregular as not being responsive to the Advertisement for Bids.

If you have questions regarding the specifications contained in this bid package, please contact:

Hal Watson  
Street Supervisor  
Department of Public Works  
P.O. Box 5500, Sevierville, TN 37864  
(865) 429-4567, voice; (865) 453-5518, fax  
[hwatson@seviervilletn.org](mailto:hwatson@seviervilletn.org)

Bid Submitted by:

_____	_____
Authorized Signature	Name (Printed)
_____	_____
Title	Date

## TITLE VI INFORMATION

It is the policy of the City of Sevierville to ensure compliance with Title VI of the Civil Rights Act of 1964: 49 CFR, Part 21; related statues and regulations to that end that no person shall be excluded from participation in or be denied benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance or any other funding source on the grounds of race, color, sex, national origin, or ancestry. By virtue of submitting a response to this solicitation, bidders agree to comply with the same non-discrimination policy.

Bid Name: \_\_\_\_\_

Bid Date: \_\_\_\_\_

\*For Title VI and IX compliance, we ask for voluntary disclosure of the following information for the majority owner of the business:

Gender:     \_\_\_ Male                             \_\_\_ Female  
Race:       \_\_\_ Caucasian                     \_\_\_ African American  
             \_\_\_ Other (please specify)

Company Name: \_\_\_\_\_

The City of Sevierville does not discriminate based on race, color, or national origin in federal or state sponsored programs, pursuant to Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d.)



# City of Sevierville

P.O. Box 5500  
Sevierville, TN 37864-5500  
865-453-5504

## *VENDOR INFORMATION FORM*

Name: \_\_\_\_\_

Email Address: \_\_\_\_\_

Federal Tax ID Number: \_\_\_\_\_

Business License Number: \_\_\_\_\_

County and State of License: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Shipping Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Phone Number: \_\_\_\_\_

Fax Number: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Corporation, Sole Proprietor, or Partnership: \_\_\_\_\_

(If the business is a sole proprietor the owners name): \_\_\_\_\_

**NEW VENDORS WILL BE ADDED AFTER RECEIPT OF THIS COMPLETED FORM AND VERIFICATION OF A CURRENT BUSINESS LICENSE. VENDORS WITHOUT A CURRENT BUSINESS LICENSE WILL NOT BE ACCEPTED.**

Revised 6/1/04

**Request for Taxpayer  
Identification Number and Certification**

Give form to the requester. Do not send to the IRS.

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name, if different from above	
	Check appropriate box: <input type="checkbox"/> Individual/Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Limited liability company. Enter the tax classification (D=disregarded entity, C=corporation, P=partnership) ▶ .....	
	<input type="checkbox"/> Other (see instructions) ▶	
	<input type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.)		Requester's name and address (optional)
City, state, and ZIP code		
List account number(s) here (optional)		

**Part I Taxpayer Identification Number (TIN)**

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

**Note.** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
or
Employer identification number

**Part II Certification**

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest and dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. See the instructions on page 4.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
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**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Purpose of Form**

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

**Note.** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,